IN THE MATTER OF: BUSINESS OPTIONS, INC.

July 14, 2003
Deposition of Kurtis Kintzel

"We'll cover your job ANYWHERE in the country!"

De	eposition of Kurtis Kintzel	W	e'll cover your job ANYWHERE in the country!"		
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1	next day, and I'm fairly certain I think we	1	MR HAWA. Do you have a copy of it?		
2	would send a file daily. So I think we did our	2	MR. SHOOK I think I have a copy of		
3	part within 24 hours and then however long Quest	3	ıt.		
4	or Global Crossing or the LEC took could be up to	4	MR HAWA Okay.		
5	a full month.	5	MR SHOOK. Let's take a short break.		
6	Q And you would know that the change	6	(A short break was taken.)		
7	itself had actually taken place when the customer	7			
8	in question made a long-distance call on your	8			
9	facilities or with facilities you had, I guess,	9	did there come a time when you became aware that		
10	purchased from Quest or Global Crossing	10 the Vermont Department of Public Service filed a			
11	A. A call record would show up. Also,	11	petition with the Vermont Public Service Board		
12	our carrier would send out notification on	12 requesting an investigation into the business			
13	something called TCI code or some acronym there,	13 practices of Business Options, Inc.? And you had			
14	where they would send us somehow electronically	14	indicated that you were not really all that clear		
15	codes of what was going on if the customers	15	that such had occurred.		
16	were switched to our service. And I think	16 MR HAWA Did I give you a copy of			
17	somehow Shalanda could add up how many of those	17	the stipulation?		
18	people are switched to us on a weekly basis, and	18	WITNESS Yes, you did.		
19	she keeps statistics that way.	19	BY MR SHOOK		
20	Q And then you in turn would send that	20	Q Perhaps to help put this in time frame		
21	information to USBI	21	and maybe that will assist your recollection, the		
	Page 174		Page 176		
1	A. No, we would only send USBI billing	1	information that we have is that the petition		
2	information.	1	that I was referring to was filed on June 13,		
3	Q Billing information	1	2002		
4	A. Right.	4	A. Yeah.		
5	Q that this particular phone number	5	Q So about a year and a month ago		
6	should be billed or whatever?	6	A. Okay. Up until Mr. Brzycki's		
7	A. Service fees, this call, that call.	7	departure, he was communicating with Vermont, and		
8	Q Now, did there come a time when you	8	he would occasionally give me an update on		
9	became aware that Vermont the Vermont	9	anything that was problematic in Vermont.		
10	Department of Public Service filed a petition	10	Anyway, once he departed, I had to get more in		
11	with the Vermont Public Service Board requesting	11	tune. There was a note in our file from Sara		
12	an investigation into the business practices of	12	Hoffman. It said "Dear Mr. Kintzel. Here is the		
13	Business Options, Inc.?	13	board's order and stipulation. If you have any		
14	A. I'm sure there was, but I don't know	14	questions, feel free to call me". This was		
15	the specific date or when it was.	15	written on November 26, 2002. I think this is		
16	Q What was your understanding as to why	16	the time that it really came onto my plate. Up		
17	the Vermont Department of Public Service filed	17	until Mr. Brzycki left, which was the end of		
18	the petition?	18	October, he would have been the one communicating		
19	A. I don't know. Good question. I don't	19	with Vermont. And he would be sending me a note		
20	know.	20	here and there on, "Here's what is going on."		
21	Q Was this the first event?	21	But then during that time, my brother was on sick		
		•			

- 1 leave, so I was not only trying to work with
- 2 external, but I was working with internal. I was
- 3 preparing for Mr. Brzycki's departure. My
- 4 biggest problem at that point was sorting through
- 5 this EEOC crisis that we also had going on.
  - Q That was the crisis of the moment?
- 7 A. That was the crisis, right. So, Mr.
- 8 Brzycki sent me a note on Vermont, which we had
- 9 200 customers, I think, out of nearly 50,000 -- a
- 10 very small percentage. So it was like, "All
- 11 right, thank's for telling me." Back to the
- 12 crisis. Once he was gone, then it became, "Okay,
- 13 Miss Hoffman, now what is this, what have we
- 14 entered into?" I guess this would be the date
- 15 that I really became aware of it. I may have
- 16 known of it before, but this is November 26, to
- 17 answer your question in a long-winded way.
- 18 Q Okay. So in terms of really focusing
- 19 on the fact that Business Options had a problem
- 20 of some kind with the state of Vermont, you're
- 21 indicating that it wasn't until November of
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- 1 2002 -- late November of 2002 that you were
- 2 really focused on what the problem was?
- 3 A. Right. By that time, it was really
- 4 too late. We had already signed a stipulation to
- 5 depart the state.
- 6 Q And also what you were saying was that
- 7 because there was roughly 200 customers involved,
- 8 that it didn't present the type of crisis that
- 9 might have otherwise led to your greater
- 10 involvement. So, basically the Vermont
- 11 situation, you let Mr. Brzycki handle?
- 12 A. Yes. Because, really, it was his job
- 13 to handle things like that. Because it's like,
- 14 "Here's the project for you, Bill." It was
- 15 anything that came in like this -- that was what
- 16 he was doing, especially since he had been
- 17 demoted from vice president of administration.
- 18 He was only doing regulatory affairs.
- 19 Q So with respect to the final
- 20 stipulation or resolution with all outstanding
- 21 matters --

- A. Yes.
- 2 Q -- that was signed by Mr. Brzycki that
- 3 was signed on September 12, would I be accurate
- 4 in understanding that Mr. Brzycki had the
- 5 authority to sign on behalf of Business Options
- 6 at that time?
- A. Yes, he was an officer of the company.
- Q An officer in the managerial sense, if
- 9 you will, that he had an area of responsibility
- 10 and this fell within his area of responsibility?
- 11 A. Yes.
- 12 Q He wasn't a corporate officer, though;
- 13 was he, from the standpoint of --
- 14 A. Yes, he was.
- 15 Q Oh, he was?
- A. Yes. He was secretary/treasurer of
- 17 the company.
- 18 Q Oh, okay.
- 19 A. That's my recollection. I would --
- 20 MR HAWA He was the vice president?
- 21 Or, no, not at that time.

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- A. He was still listed as a corporate
- 2 officer. I mean, this was what his duty was. I
- 3 could go back to the corporate books, but that's
- 4 my recollection -- that he was
- 5 secretary/treasurer and had the authority to do
- 6 that. And I would guess that he probably showed
- 7 me the stipulation, and I probably read and just
- 8 said, "Okay, fine."
- 9 Q Again, with the thought being that
- 10 there were so few customers involved --
- 11 A. Yes.
- 12 Q -- that it didn't present the kind of
- 13 problem that it might have otherwise caused
- 14 you --
- 15 A. Yes.
- 16 Q -- either greater heartburn or greater
- 17 involvement?
- 18 A. Right.
- 19 Q Now, other than Mr. Brzycki, would he
- 20 have been involved in negotiating with the state
- 21 of Vermont by himself, or would there have been

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- 1 somebody else at Business Options who would have
- 2 been involved with the process?

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- A. It would have been totally Mr.
- 4 Brzycki. And prior to signing anything, he would
- 5 have run it by myself and potentially myself and
- 6 Keanan. But, no, he would have totally been
- 7 negotiating back and forth with Vermont.
- Q So you would not have been involved in
- 9 negotiations with Vermont?
- A. No. 10
- 11 Q And other than -- I mean, you've
- 12 referenced the fax from Sara Hoffman.
- A. Yes. 13
- Q Was that your first contact with Sara 14
- 15 Hoffman?
- A. I don't know. 16
- Q It's conceivable that you had some 17
- 18 contact with Sara Hoffman before late November of
- 19 2002 --
- A. Yes. 20
- Q -- that you don't remember?

2 recollection; I may be incorrect. I think we

A. I don't know. This is just my

- 3 were asked to, though. Your earlier question is
- 4 what prompted all this. It seems to me -- and
- 5 this is just from my recollection -- that we
- 6 didn't file an annual report or something we were
- 7 supposed to do, and that started this whole chain
- 8 of events. I may be incorrect on that, but --
- Q What you're saying is that there was a
- 10 period of time before this petition was filed
- 11 that Business Options had stopped billing its
- 12 customers in Vermont?
- A. Yes. Okay. Here it is. 13
- MR. HAWA I think you have a copy of 14
- 15 this. It's contained in the documents.
- A. On May 9, a letter was sent to Billing 16
- 17 Concepts, USBI. And at that point, we stopped
- 18 billing Vermont customers.
- Q. So what you're looking at is a letter
- 20 from who to who?
- A. It's from Vermont to Billing Concepts.

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- 1 A. Correct.
- Q To your understanding, did there come
- 3 a time when Business Options, Inc. stopped
- 4 providing long-distance service in Vermont?
- A. Yes.
- Q And do you have any recollection as to
- 7 approximately when that may have occurred?
- A. I believe we stopped billing our
- 9 customers in March of 2002.
- Q Do you mean 2003? 10
- A. No, 2002. 11
- 12 Q You stopped billing in March of 2002?
- 13 A. Yes.
- Q So --14
- A. We continued to service the customers,
- 16 meaning we gave them long-distance, but I don't
- 17 think we billed anybody after that point. Maybe
- 18 it was April, but sometime in the fall -- I'm
- 19 sorry -- in the spring of 2002.
- Q Why did you stop billing customers 20
- 21 that early?

- Q And Vermont is telling Billing
- 2 Concepts, which is the same as USBI --
- A. Yes.
- Q -- to stop billing on behalf of
- 5 Business Options?
- A. Yes. 6
- 7 MR HAWA May 9, 2002.
- Q Okay. When did this come to your
- 9 attention? When did it come to your attention
- 10 that you were providing free service to customers
- 11 in Vermont?
- A. About a year later, since all this 12
- 13 came out. It was eye-opening.
- 14 Q I would think so.
- A. Yeah. 15
- MR HAWA Well, 200 customers -- it's 16
- 17 really not that much money.
- 18 MR SHOOK I guess that depends on
- 19 how many long-- distance calls they made.
- A. Yeah. So your question was, when did 20
- 21 we stop providing service. We stopped providing

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- 1 billing service in May, meaning that every
- 2 customer that was on our service was getting free
- 3 service from that point forward. Then toward the
- 4 end of December, 2002 is when any customers that
- 5 were left with us -- a disconnection of service
- 6 letter was sent on their behalf.

**Deposition of Kurtis Kintzel** 

- 7 Q Subsequent to December of 2002, has
- 8 Business Options resumed providing long-distance
- 9 service to anybody in Vermont?
- 10 A. Are you saying since that time?
- 11 Q Right, since December of 2002.
- 12 A. Not to my knowledge.
- 13 O Would that also be true of the other
- 14 company names that we have mentioned --
- 15 A. Yes.
- 16 Q -- like Buzz Telecom --
- 17 A. Yes.
- 18 Q -- U.S. Bell --
- 19 A. Yes.
- 20 O -- Link?
- 21 A. Yes.

- 1 A. At that point, I took over what Mr.
  - 2 Brzycki -- not really took over, but got more
  - 3 involved in what he was working on.
  - 4 Q Now, once the fax was sent to you in
  - 5 November of 2002, did you ever direct anybody to
  - 6 insure that the matters that were agreed to in
  - 7 the stipulation were in fact carried out by
  - 8 Business Options, Inc.
  - 9 A. Miss Dennie.
  - 10 Q Miss Dennie.
  - 11 A. Yes.
  - 12 Q There was an order that was entered by
  - 13 the state of Vermont Public Service Board on
  - 14 November 7, 2002.
  - 15 A. Okay. I'm looking at it.
  - 16 Q Could you tell us approximately when
  - 17 it was that you became aware that this order had
  - 18 been issued?
  - 19 MR HAWA Objection. The question
  - 20 has been asked and answered.
    - 1 O I think I asked before about the

- O You're out of Vermont.
- 2 A. Yes, to the best of my knowledge.
- 3 Q Now, the final stipulation that was
- 4 signed off on Mr. Brzycki in September of 2002.
- 5 Do you have any recollection as to approximately
- 6 when it was when you first looked at this
- 7 document?
- 8 A. This is the stipulation.
- 9 Q Right, I can show you here what I am
- 10 looking at, you've got your own copy, I'm sure.
- 11 A. Yea. That's the one that I had that
- 12 Vermont had resend to me at the end of November.
- 13 so I guess my answer would be the same as before.
- 14 I may have read it when Mr. Brzycki was signing
- 15 off on it; but when I really got it, was at the
- 16 end of November.
- 17 Q With that fax that Sara Hoffman --
- 18 Sara Hoffman sent this to you by fax in November
- 19 of 2002.
- 20 A. Yes. That was November 26.
- 21 Q Okay.

- 1 petition, if the order was included.
- 2 A. I may have misstated then. Oh, it's
- 3 the same fax.
- 4 O It came in the same fax?
- 5 A. Yes.
- 6 Q The November 26 fax?
- 7 A. Yes.
- 8 Q All right. Did you read through the
- 9 order?
- 10 A. I believe so.
- 11 Q Just so we are clear we are talking
- 12 about the same thing, the order I am looking at
- 13 goes on for eight pages and on page eight it
- 14 bears the signatures of apparently three persons
- 15 who are with the Public Service Board of Vermont.
- 16 A. Yes, that's the one I'm looking at.
- 17 Q And, on pages one through seven,
- 18 there's all sorts of legal stuff.
- 19 A. Yes.
- 20 Q And at some point you read through
- 21 this document and managed to stay awake?

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## "We'll cover your job ANYWHERE in the country!"

A. Yes.

Q Now, I want to direct your attention

3 to page three of the order; specifically,

4 paragraph six

1

5 A. Yes. I may have had a

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6 misunderstanding on what an order is like this.

7 I didn't know that it was something that -- I

8 didn't sign it -- it was an agreement that was

9 factual and I don't know if I should have sent

10 something over to disagree with exactly what it

11 said. All I agreed to was the final stipulation

12 for resolution. I guess what I've agreed to is

13 the final stipulation. And sitting here today I

14 don't necessarily know what this document -- what

15 it has to do with anything.

16 Q Well, let's see if we can figure it

17 out together. The first six pages appear to be

18 some tentative findings made by a hearing officer

19 named Greg C. Favor.

20 A. Okay.

21 MR HAWA If you could, I think

1 MR HAWA Okay.

2 Q And particularly focusing initially on

3 paragraph number six, which appears on page

4 three, there it is perhaps a way of putting this

5 that would be most appropriate for this

6 situation, a tentative finding or, perhaps looked

7 at another way, an allegation that BOI had

8 engaged -- BOI, meaning Business Options, Inc.

9 had engaged in deceptive business practices in

10 the marketing of its services to Vermont

11 customers.

12 A. Okay.

13 Q And my question to you is, do you have

14 any knowledge as to what it is these deceptive

15 business practices are supposed to be?

6 A. No.

17 Q You do not.

18 A. I do not.

19 Q And moving on to paragraph seven, that

20 paragraph reads, "BOI marketers misrepresented

21 themselves in the purpose of the sales in order

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1 that's not responsive to what Kurtis was saying.

2 He is saying he was aware of the finding and

3 stipulation --

4 MR SHOOK I understand.

5 MR HAWA -- and the order that was

6 ultimately issued is --

7 MR SHOOK Right, something that he

8 didn't agree to, and I'm not suggesting that he

9 did.

10 MR HAWA Fine, so I guess for our

11 purposes we should probably compare the

12 stipulated items in what ultimately appeared in

13 the order.

14 MR SHOOK Well, the stipulation came

15 out, it was signed apparently a month and a half

16 prior to the issuance of this order --

17 MR HAWA Right.

18 MR SHOOK -- and I'm just trying to

19 get an understanding of the assertions that are

20 made in the order and what is it that Mr. Kintzel

21 knew about the matters referenced, if anything.

1 to induce Vermont consumers to switch their

2 primary intrastate and/or interstate service

3 providers to BOI in violation of blah-blah." Do

4 you have any idea what it is that is being

5 referred to here in terms of BOI marketers and

6 what they said or didn't say.

7 A. I do not. We did have a situation --

8 I don't know if it was Vermont or Maine -- in

9 which we did not break intrastate and interstate

10 telecommunications into two separate questions on

11 verification one being intralateral and the other

12 interlateral. I don't know if that is what this

13 is referring to or not, but that's the thing that

14 comes to mind.

15 Q Okay. And what you are thinking of

16 there was some possible problem with the state of

17 Maine

21

8 A. It might have been Vermont. I don't

19 know. We were switching intralateral through it,

20 but only having interstate verified.

Q Do you recall having any such problem

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Deposition of Kurtis Kintzel "We'll cover your job ANYWHERE in the country!"

Page 193 Page 195 1 with the state of Kansas? WITNESS Okay. 1 A. We had a situation in Kansas, but I'm 2 MR SHOOK But we have nothing to say 3 not sure what it was. I'd like to review the 3 about Vermont, one way or the other. 4 documents on the Kansas before answering that. MR HAWA We don't understand it, MR HAWA Let's put this in context 5 either. We really truly are trying to give you a 6 here So what we're saying here is the 6 sense of this. We don't understand what happened 7 stipulation that was entered into with Vermont, 7 between the date he signed the stipulation saying 8 which is rather straight forward and generic --8 he agreed to exit their market and a month and a MR SHOOK Right. 9 9 half later having an order come out making MR HAWA - bears little 10 findings of fact and conclusions of law that are 10 11 not only in the stipulation but not even 11 resemblance to the order that came out. And you 12 would have thought there would have been a one 12 referenced in the stipulation. 13 page letter saying -- from the board saying we MR SHOOK No, one could say that if 14 hereby approve the stipulation. Instead, they this had been read closely at the time, it would 15 come out with an order that bears little 15 have been a most unpleasant surprise. 16 resemblance of what was stipulated to And one MR HAWA Right. 17 could only surmise that was the time period that 17 MR SHOOK But be that as it may --18 Bill Brzycki left his post. 18 BY MR SHOOK MR SHOOK Right. 19 19 Q I'd like to direct your attention to 20 page six of that order. It makes reference to **2**0 BY MR SHOOK 21 Q There are certainly a number of things 21 the stipulation, and it also makes reference to

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- 1 that I guess are left up in the air at this
- 2 point. I was hoping there might be a logical
- 3 explanation and perhaps we will find it. But if
- 4 you don't know, that's fine, we'll just move on.
- A. Okay. 5
- MR HAWA And I guess -- Just for
- 7 further background, I guess we would contest at
- 8 some point -- I don't know through what vehicle,
- 9 given the timeliness, but we would contest the
- 10 lawfulness of this Vermont order in terms of it
- 11 not being what was stipulated to. An order
- 12 approving a stipulation that makes findings of
- 13 fact and conclusions of law that extend far
- 14 beyond the scope of what was stipulated to 1s
- 15 potentially invalid as a matter of law.
- MR SHOOK And I'll leave that to you 16
- 17 to hash out with the state of Vermont.
- WITNESS All right, I like that man. 18
- MR SHOOK And if turns out all right
- 20 and if you can get it thrown out, you can get
- 21 your 200 customers back.

- 1 Business Options, Inc. will be following the
- 2 federal procedure set forth in Section 63.71,
- 3 where the process determines terminating service
- 4 to customers.
- A. Okay.
- Q Do you know whether anyone in Business
- 7 Options, Inc. had the responsibility to determine
- 8 what the federal procedure was for terminating
- 9 service to the Vermont customers?
- A. Well, while Mr. Brzycki was there, it
- 11 would have been his responsibility. Maybe it was
- 12 too much to ask Miss Dennie to try and figure
- 13 that out.
- Q I think we understand the sequence of
- 15 events. By the time this order reached you, Mr.
- 16 Brzycki had already departed.
- A. Yes. I would have followed up, either 17
- 18 myself or maybe Miss Dennie.
- Q Do you have any knowledge as to 19
- 20 whether or not this order was brought to Miss
- 21 Dennie's attention?

Deposition of Kurtis Kintzel	We'll cover your job ANYWHERE in the country!"
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1 MR HAWA I'm sorry, what was the	1 tell us approximately when this letter came to
2 question?	2 your attention?
3 MR SHOOK I was asking Mr. Kintzel	3 A. I don't know if I recall seeing it.
4 whether or not he had any knowledge that the	4 Q In the normal course of events, where
5 order that we have been talking about was brought	5 would this letter have gone in terms of persons
6 to Miss Dennie's attention.	6 at Business Options?
7 A. It was sent to her on November 25.	7 A. It would have gone to corporate
8 Q So in addition to you receiving a fax	8 affairs, which would be to Mr. Brzycki.
9 that included the order, there was a separate	9 Q And with his departure, it would have
10 letter that was sent to Miss Dennie that included	10 gone to whom?
11 the order as well?	11 A. It would have gone to Miss Dennie.
12 A. Yeah.	12 Q Now, is this the kind of material that
13 Q Now, is the letter What letter is	13 Miss Dennie would have brought to your attention
14 it that you are referring to?	14 in order to discuss with you whatever course of
15 MR HAWA It's the one where Vermont	15 action she should take?
16 thanks Business Options for their cooperative	16 A. I suppose she probably should have
17 efforts and decides not to pursue penalties	17 brought this to my attention. I don't know
18 because of their cooperation. Do you have that	18 whether she did or she didn't. In hindsight, I
19 one?	19 over-estimated the abilities that Miss Dennie
20 MR. SHOOK I'm not sure that we do.	20 brought to the table when she became employed by
21 MR HAWA I'll show it to you.	21 our group.
21 MR HAWA I'll show it to you. Page 198	
Page 198	Page 200
Page 198  1 MR SHOOK I think I'm sure I've	Page 200  1 Q With respect to Miss Dennie, who was
Page 198  1 MR SHOOK I think I'm sure I've  2 seen it at some point.	Page 200  1 Q With respect to Miss Dennie, who was 2 involved in the hiring decision to bring her onto
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Page 198  1 MR SHOOK I think I'm sure I've  2 seen it at some point.  3 MR HAWA This binder, I think we've  4 copied it in its entirety, Bill. Whatever	Page 200  1 Q With respect to Miss Dennie, who was 2 involved in the hiring decision to bring her onto 3 Business Options, Inc.? 4 A. I know I wanted somebody, but I did
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Page 198  1 MR SHOOK I think I'm sure I've  2 seen it at some point.  3 MR HAWA This binder, I think we've  4 copied it in its entirety, Bill. Whatever  5 documents you need, we will be happy to provide  6 MR SHOOK Okay I'm not sure  7 whether or not that I've seen this before, but  8 that's neither here nor there.  9 MR HAWA It makes reference to	Page 200  1 Q With respect to Miss Dennie, who was 2 involved in the hiring decision to bring her onto 3 Business Options, Inc.?  4 A. I know I wanted somebody, but I did 5 not do the interviewing of Miss Dennie. I don't 6 know if it was our personnel department, Mr. 7 Chill, or it could have been Keanan. I didn't 8 interview her; I didn't know her background. It 9 was communicated to me that, "Wow, this woman is
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21 letter that was sent to Mr. Brzycki, could you

21 don't know whether she did or she didn't.

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Deposition of Kurtis Kintzel "We'll cover your job ANYWHERE in the country!"

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1 Knowing what I know today, I should have been

- 2 asking to see everything that she did.
- Q So it would be fair to say that with
- 4 respect to the November 19 letter, it was not
- 5 something that you recall having seen at about
- 6 that time?
- A. No, I don't. It's right around
- 8 Thanksgiving, so I don't know. I also don't
- 9 think she had the ability to grasp the
- 10 significance of this compared to anything else
- 11 that she was working on -- why one thing would be
- 12 more important than another.
- 13 Q So persons other than yourself were
- 14 involved in the hiring decision of Miss Dennie?
- A. Yeah. What I asked for was somebody
- 16 that had an accounting background. Obviously,
- 17 what I wanted was a CPA for the post. What I got
- 18 was somebody that was skilled in legal aspects.
- 19 Q Now, I want to focus your attention on
- 20 the Section 63.71 application that appeared as
- 21 Attachment J to our request for our admissions.

1 Options, Inc. reviewed the application?

- A. Mr. John Mincoff was the staff person
- 3 that was helping Miss Green. And it says in here
- 4 in a fax to Miss Green, "Included with this fax
- 5 are the two waiver orders that I spoke with
- 6 Shannon about, along with an example of the 63.71
- 7 application. Given the initial trouble getting
- 8 this information to you, please give me a
- 9 follow-up call just so I know you have received
- 10 this fax." This was on 12-18. So I think Miss
- 11 Dennie and Miss Green were working together on
- 12 this.
- 13 Q And I see that the application is
- 14 signed by Miss Green. And I think you had
- 15 indicated earlier that Miss Dennie was Miss
- 16 Green's supervisor or manager, for the lack of a
- 17 better term?
- A. Yes, that's correct. 18
- 19 Q Now, other than Miss Green and Miss
- 20 Dennie, was there anybody at Business Options who
- 21 approved the application?

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- 1 And what we show is that this document was filed
- 2 at the FCC in the sense that it reached the FCC
- 3 mail room on December 27, 2002.
- A. Okay.
- Q Do you know who on behalf of Business 5
- 6 Options, Inc. prepared the application?
- A. Lisa Green signed it.
- Q Do you know whether or not Lisa Green
- 9 was the person who actually authored the
- 10 application?
- A. I don't know if it was Miss Green or 11
- 12 Miss Dennie. From my understanding of the
- 13 conversation, they had been helped out by FCC
- 14 staff members in the preparation of this over a
- 15 period of a couple of weeks. So Miss Green or
- 16 Miss Dennie, I don't know that either of them
- 17 would have the wherewithal to produce something
- 18 like this. So as to who authored it, my guess
- 19 would be an FCC staff member gave them everything
- 20 except the signature line.
  - Q Do you know who on behalf of Business

A. The only person that would have would

- 2 have been me. And from these dates, I was in
- 3 Florida; I was at Disney World.
- Q So you were out of the office
- 5 basically at Christmastime --
- A. Yeah.
- Q -- of 2002?
- A. Yes.
- Q Now, this will be a real test for your
- 10 memory, and your wife is not here to beat you up
- 11 if you are wrong -- do you remember the dates
- 12 that you actually were away from the office?
- A. Yeah. Fairly shortly after December
- 14 26, we had a baseball tournament at Disney World,
- 15 and we took the whole baseball team. So I think
- 16 it was on the 27th --
- 17 Q Okay.
- A. -- 26th or 27th. 18
- Q You were home for Christmas?
- A. Yes. 20
- 21 Q Now, given that this Section 63.71

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- 1 application was received by the FCC mail room on
- 2 December 27, and it appears to have been dated
- 3 December 20, 2002 --
- A. Okay.
- 5 Q -- I take it you were still in the
- 6 office at that time?
- 7 A. Let me think. What was I doing then?
- 8 I don't know if I was or wasn't. I was not in
- 9 Florida at that time, but that last week -- the
- 10 kids get out of school somewhere around the 15th
- 11 or 18th, I don't know. But it's very possible I
- 12 was in the office, though.
- 13 Q Now, how did it come about that Miss
- 14 Green signed the application?
- 15 A. I don't know.
- 16 Q Would you say that in December of 2002
- 17 that Miss Green had the authority to submit such
- 18 an application on behalf of Business Options,
- 19 Inc.?
- 20 MR HAWA I have to object to that.
- 21 It calls for a legal conclusion. He said that

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  1 that I should have had her sign such a document.
  - 2 Probably Miss Dennie, again going with I thought
  - 3 Miss Dennie was somebody who could step right in
  - 4 and fill Mr. Brzycki's shoes. But to delegate
  - 5 that down to Miss Green, who was an \$8 an hour
  - 6 employee, no, I don't that think she should have
  - 7 been signing a document like this.
  - 8 BY MR SHOOK
  - 9 Q Yeah, I think that's probably the
  - 10 first for the FCC to get a document from somebody
- 11 that's making \$8 an hour. Normally, they're a
- 12 bit more pricey.
- 13 A. Yeah, your new wage.
- 14 MR HAWA I think I'm making \$8 a
- 15 minute.
- 16 MR SHOOK Yeah, we won't go there.
- 17 MR HAWA It's on the record.
- 18 BY MR SHOOK.
- 19 Q Would it be fair to say then that in
- 20 respect to the Section 63.71 application that you
- 21 have not seen it prior to its filing with the

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- 1 Lisa Green and Shannon Dennie were responsible
- 2 for making regulatory filings. And the question
- 3 of whether or not she had authority to make a
- 4 particular filing, I think he can answer it if
- 5 it's rephrased
- 6 MR SHOOK Well the question as posed
- 7 basically deals with what authority had been
- 8 delegated within Business Options, Inc. to
- 9 various individuals So the context of the
- 10 question is really in terms of according to how
- 11 management and personnel were set up at Business
- 12 Options, Inc., did Lisa Green have the authority
- 13 to sign and submit such a document?
- 14 MR HAWA So not as a legal officer
- 15 of the company, did she have authority to --
- 16 MR SHOOK Did she have authority
- 17 within the realm her of responsibilities to sign
- 18 such a document?
- 19 MR HAWA Okay
- 20 WITNESS I would not have had her --
- 21 I don't think -- If I did have her, I don't think

- 1 Federal Communications Commission?
- A. I don't recall seeing this before.
- 3 Q Before it was filed? I mean, when you
- 4 said "before," I'm just adding to your statement
- 5 to make sure we're on the same wavelength.
- 6 A. Yeah, I was referring to today.
- 7 Q Oh, to today. Then I wasn't on the
- 8 same wavelength.
- 9 MR HAWA While you are looking, can
- 10 I ask you a question?
- 11 MR SHOOK Sure.
- MR HAWA How was this filed with the
- 13 commission? We had a heck of a time finding
- 14 this. How was this received by the commission?
- 15 MR SHOOK I would assume from the
- 16 stamp that it was sent by ordinary mail as
- 17 opposed to hand delivery or courier delivery.
- 18 WITNESS Is this the one you were
- 19 asking us about?
- 20 MR HAWA Yeah, we couldn't find it
- 21 filed at the commission.

"We'll cover your job ANYWHERE in the country!"

**Deposition of Kurtis Kintzel** Page 209 Page 211 WITNESS We didn't make a copy of it A. Okay. 2 is what happened. Oh, and then you received it Q -- so it's conceivable that that's 3 from these guys? 3 what is going on -- that you learned what you 4 learned in that context. MR HAWA No. Actually, I had to 5 pull some strings and have somebody look more A. Okay. 6 deeply into this than ordinary to find it. Q But my question is more narrow than MR SHOOK Certainly what we have 7 that, and that is whether you have had 8 reflects that it was received in the FCC mail conversations with Lisa Green in terms of how 9 room and when I achieve genius level, I will be 9 this document was prepared? 10 able to tell you what happens to documents once A. Specifically regarding the waiver, I 11 they get to the mail room. I'm still working on 11 believe I asked Miss Dennie maybe a month or two 12 that. 12 ago if she had ever shown this document to me 13 prior to it being sent off. And I think at that 13 BY MR SHOOK 14 time we also asked Lisa if she had ever shown it Q In any event, let me make sure that we 14 15 to me. And I believe their answer was no. 15 are on the same page here. A. Okay. O Okav. 16 16 O In terms of the Section 63.71 A. I believe their answer was no as to 17 17 18 application, is it your testimony that you do not 18 the response to the waiver, not the actual 19 recall having read it prior to its filing with 19 application. 20 the Federal Communications Commission? 20 Q All right. A. Correct, I don't recall reading it or 21 A. And I think that that's when Miss 21 Page 212 Page 210 1 seeing it. If I did, I'll recant it at a later 1 Green mentioned that she had gotten help by the 2 date. But I don't recall seeing it. 2 FCC staff on that. Q And in fact, you don't recall having 3 MR HAWA Jim, do you have a copy of 4 the transmittal -- the fax transmittal from the 4 seen it prior to approximately when? A. I don't recall seeing it before just 5 FCC attaching the model waiver and the model 6 right now. I may have seen it, but I've looked continuous application? 7 through a lot of documents over the last two MR SHOOK I don't have it with me, 8 months, and I don't recall seeing this one -- the but I have seen it. 9 application prior to today. 9 MR HAWA Okay. Q Have you had any conversations with BY MR SHOOK 10 11 Lisa Green in terms of how it was the application Q Now, in terms of the request for 12 came to be prepared? 12 waiver, so we are sure we are both talking about A. I asked her about it. I don't know if 13 the same thing, that was included in our 13 14 I had conversations with you or if you guys had 14 attachment J, what we had was the 63.71 15 application, which went for five pages. And then 15 conversations -- or my counselor had 16 conversations with her. But somebody indicated 16 immediately following that was a sample letter

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17 to me that she got help with I thought it was the

18 waiver. But I'm not quite sure I understand the

O They were submitted at the same

19 difference between the two.

20

21 time --

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17 that was going to be sent to Vermont customers or

19 final two pages was the request for waiver, which 20 I believe we have been talking about. That's the

18 had been sent, one or the other. And then the

21 request for waiver that you have in mind?

Deposition of Kurtis Kintzel	We'll cover your job ANYWHERE in the country!"
Page 213	Page 215
1 A. This one here, yeah.	1 Q. And what is it that you know about
2 Q Two pages signed by Lisa Green, dated	2 Lisa Green's background?
3 December 20, 2002?	3 A. I know that she came to us from
4 A. Yeah.	4 K-mart, where she worked for an extended period
5 Q So in terms in asking about the	5 of time; and before that, some other department
6 application and the statements made therein, the	6 store.
7 person we would need to focus our attention on,	7 Q In a retail capacity?
8 to your understanding, would be Lisa Green?	8 A. Yes.
9 A. And Shannon Dennie.	9 Q And what was it that she was hired to
10 Q And Shannon Dennie.	10 do?
11 A. Yes.	11 A. She was hired to fill Miss Dixon's
12 Q Would their be anybody else?	12 post, which was an assistant, a helper.
13 A. No.	13 Q Now, with respect to Mr. Brzycki, you
14 Q Likewise with the waiver? If we had	14 had indicated he had been with the company for a
15 questions about the waiver	15 number of years.
16 A. Yes.	16 <b>A. Yes.</b>
17 Q again it would be Lisa Green and	17 Q Could you tell us approximately when
18 Shannon Dennie that we should focus on?	18 Mr. Brzycki joined Business Options?
19 A. Yes.	19 A. The fall of 1995.
20 MR SHOOK I think I'm pretty close	20 Q And I think you had also indicated
21 to the end. What I'd like is five minutes to	21 that Mr. Brzycki basically worked his way up
Page 214	Page 216
1 consult with my co-counsel.	1 within the company to reach the stage where he
2 WITNESS Okay.	2 was prior to his departure?
3 (A short break was taken.)	3 A. Yes.
4 BY MR SHOOK	4 Q So what was he initially hired to do?
5 Q We had briefly talked about the	5 A. Treasury functions. He had just
6 circumstances of Shannon Dennie's hiring And as	6 graduated from Purdue University, although he
7 I understand it from your testimony, Lisa Green	7 was I think he was roughly 30 years old he
8 was hired at about the same time as Shannon	8 had taken a longer path. He worked his way
9 Dennie. Do you have any knowledge of the	9 through school. So although he was a new
10 circumstances of Lisa Green's hiring?	10 graduate, he was pretty mature. We had hired him
11 A. Other than we knew we needed two	11 to help us in our treasury area of our company.
12 people, I do not know who hired her or what her	12 Q And did you know Mr. Brzycki prior to
13 qualifications were. I, like with Shannon	13 his having been hired with the company?
14 Dennie, just reviewed her resume' when I was	14 A. No.
15 reviewing documents within the last month.	15 Q Are you aware of any medical problem

18 is modest? A. Yes. As is Miss Dennie's. Our future 20 plan is to bring in somebody who is more

Q And I understand from what you said, 17 perhaps in a joking manner, Lisa Green's salary

21 qualified in these matters.

A. A medical problem? 18

19 Q Correct.

17 autumn of 2002?

A. It seems that he wore some sort of a 20

16 or medical issues concerning Mr. Brzycki in the

21 wrist brace. But not specifically, no.

- Q There was nothing life threatening 1
- 2 that comes to mind?
- A. No. Are you?
- o No
- A. Oh, okay. It just seemed like an
- 6 unusual question.
- Q We're full of them
- A. Okay.
- Q But in terms of a medical issue, the
- 10 only thing you can think of right now is perhaps
- 11 he had a brace on one of his wrists?
- 12 A. Yes. Mr. Brzycki is large, so I think
- 13 there may be some problems there. He --
- 14 MR HAWA Don't speculate if you
- 15 don't know.
- A. Okay. I guess I'd rather not
- 17 speculate. I don't know.
- Q That's fine. You mentioned the wrist
- 19 matter and large. We can go from there.
- 20 A. Okay.
- Q You had also -- You indicated, though, 21

- Page 218 1 that with respect to your brother Keanan that he
- 2 had been out of the office for some period of
- 3 time due to a health matter of some sort.
- A. Uh-huh.
- Q Approximately, what period of time was
- 6 he actually out of the office?
- A. I should think about -- I think it
- 8 started in July of 2001 is when he out. He was
- 9 mountain climbing on Mt. Rainier. At midnight.
- 10 he had hiked up to some peak, and he fell off.
- 11 And it was one of those things -- I don't know,
- 12 it did something to his body. Because shortly
- 13 after that he -- I think it was July of 2001 and
- 14 in August of 2001 he overheated in his office,
- 15 and Mr. Brzycki took him to the hospital. He
- 16 felt like he was having a heart attack or
- 17 something. And two or three months later, I
- 18 believe it was November of 2001, again he thought
- 19 he was having some type of a heart problem, so we
- 20 got him back to the emergency room. He stayed
- 21 out of the office for nearly six months -- at

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- 1 least for the first six months of 2002. Then he
- 2 came back part-time after that. Probably within
- 3 the last six months, he has been back on his
- 4 post.
- Q So for the last six months, whatever
- 6 it was that was bothering him seems to have
- 7 resolved itself?
- A. It has improved, but he's not certain
- 9 what it was. If his heart palpitates or
- 10 something -- He has always been very health
- 11 conscious, has always worked out and been very
- 12 fit. So anything that's not quite right, he is
- 13 very aware of. So he went and did a series of
- 14 tests and they never found anything, so they're
- 15 not sure what it was.
- Q Okay. There's one final area I want
- 17 to cover, and that concerns the letter of inquiry
- 18 the FCC had sent to Business Options, Inc. in
- 19 November of 2002 --
- A. Okay. 20
- 21 Q -- that appears as Attachment L of our

- 1 admissions request.
- A. In here, okay.
- Q The admissions themselves and the
- 4 responses concerning that letter begin on
- 5 admissions number 748, so it's toward the end.
- 6 And as far as the attachment itself is concerned,
- 7 it's something I don't have your response, but I
- 8 can show you if it turns out that you don't have
- 9 one right there.
- MR HAWA No, I do I have a copy 10
- 11 right here in the response.
- 12 MR SHOOK Maybe it's better that I
- 13 don't use the admissions request copy.
- MR HAWA I have a copy right here. 14
- 15 BY MR SHOOK
- O All right. The letter that was sent
- 17 by the FCC, which covers six pages, is dated
- 18 November 1, 2002, it's addressed to the legal
- 19 department of Business Options, Inc., and it
- 20 bears the signature of -- oh, I know who it is --
- 21 it's Curt Schroeder, and it's signed on behalf of

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1 Colleen Hidecamp.

- 2 A. Okay.
- Q Now, with respect to this letter, my
- 4 first question to you is, at what point in time
- 5 do you recall becoming aware that this letter had
- 6 been sent to Business Options?
- I neglected to mention that with
- 8 respect to the letter there were also two pages
- 9 of names that were added on at the end, and they
- 10 reference complaints that had been filed with the
- 11 Maine Public Utilities Commission, and they
- 12 include a series of names.
- A. To answer your question, I believe it
- 14 was probably early -- my first recollection is
- 15 early part of December, because it's when I sat
- 16 down and looked at this with Miss Dennie, and she
- 17 wanted to respond to it. She asked me where to
- 18 go to get the responses. So for each of the
- 19 different numbers, I told you, "You go to this
- 20 area of the company for this; you go to this area
- 21 of the company for that."

1 end of October, so I think it was early to

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- 2 mid-November.
- Q So this was a matter that, your
- 4 recollection is, she brought to your attention?
- A. Yes.
- Q Then at the least, you had briefly
- 7 discussed with her in terms of where to go in
- 8 order to come up with the information to respond
- 9 to the various requests?
- A. Uh-huh. Like, for telemarketing
- 11 scripts, here's where to go to get that.
- Q Right. There were twelve different
- 13 categories of information that were requested.
- 14 And you and Miss Dennie discussed each of the
- 15 twelve in terms of where she should go to get
- 16 information?
  - A. That's my copy -- okay, here we go.
- 18 Her notes are on numbers 4, 5, 6, 7, 10 and 11.
- 19 So those I can say we discussed as to where she
- 20 should go to get the information. And maybe 1, 2
- 21 and 3 here.

- Q So Miss Dennie had brought this letter
- 2 to your attention roughly a month after it was
- 3 dated?
- A. That is my recollection.
- Q With respect to something that would
- 6 have been sent by certified mail, as is reflected
- 7 on the first page of the letter, what company
- 8 procedure do you have or did you have about this
- 9 time -- November of 2002 -- in terms of who would
- 10 sign for such mail?
- A. Our receptionist would sign for the
- 12 mail and then deliver it to our regulatory
- 13 people. For the last five years, it was always
- 14 Mr. Brzycki, and then it was Miss Dennie.
- Q All right So in terms of the letter
- 16 being addressed to the legal department, in the
- 17 first instance the letter would have been
- 18 directed to Miss Dennie?
- A. Yes. I said early December; it may
- 20 have been early November if this letter was dated
- 21 November 1. Yeah, I think she was hired at the

- Q So the copy of the letter that you are
- 2 looking at bears some notes that Miss Dennie had
- 3 written on it.
- A. Yeah. She would have taken those
- 5 notes when she was asking me a question. Number
- 6 4, for example, says, "Provide copies of all
- 7 telemarketing -- blah, blah, blah." She writes,
- 8 "Mike" -- who is our sales manager in that
- 9 division five -- "for verification scripts," or
- 10 something.
- 11 Q Now, in terms of the requirement for
- 12 an affidavit or a declaration that appears on the
- 13 signature page of the letter, was that something
- 14 that you and Miss Dennie talked about?
- A. I am not sure what you are referring
- 16 **to**.
- Q Well, the signature page -- oops, you 17
- 18 just passed it. Go back to the signature page,
- 19 and then look at the top of that page.
- A. "Please provide a copy of" -- I don't **2**0
- 21 recall discussing that with Miss Dennie.

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Q Did you discuss with Miss Dennie what

- 2 review of her response, if any, there should be
- 3 from you prior to this being sent to the Federal
- 4 Communications Commission?
- 5 A. No. She did show me her responses.
- 6 Q So as far as that goes, let's look at
- 7 what is labeled as Attachment M of our request
- 8 for admissions, and that is a December 9, 2002
- 9 letter directed to Mr. Wolfe, signed by Shannon
- 10 Dennie, and then there a number of pages of
- 11 attachments that follow.
- 12 A. Would that be it here?
- 13 MR HAWA Yes.
- 14 A. Okay. I don't have a cover letter to
- 15 Mr. Wolfe, I don't think.
- 16 MR HAWA I don't think there was a
- 17 cover letter.
- 18 Q Let me show you what I have.
- 19 A. Okay.
- 20 MR HAWA I do have it.
- 21 A. We do have it.

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- Q Now, with respect to the first
- 2 sentence or the first request for information,
- 3 basically it asks Business Operations, Inc. to
- 4 describe its corporate structure, including a
- 5 description of each subsidiary or affiliate
- 6 identified, and also asks for a list of the
- 7 officers and directors of each affiliated entity
- 8 and to provide relevant documents. And the
- 9 response that appears is that Business Options
- 10 was incorporated in the State of Illinois on
- 11 March 15, 1992, the company is privately held and
- 12 does not own any property in any state. And it
- 13 lists yourself and your brother Keanan as owners
- 14 and as officers. And it also suggests or
- 15 indicates that both of you were directors -- you
- 16 being COB, which we understood to be chairman of
- 17 the board, and then your brother is also noted as
- 18 being a director. Do you have any knowledge as
- 19 to how it was that Miss Dennie came to provide
- 20 the information that appears here in Business
- 21 Options response to number one?

- A. I don't want to speculate; I don't
- 2 know. Can I see your copy?
- MR HAWA Here, this is the
- 4 attachment
- 5 WITNESS Okay
- 6 BY MR SHOOK
- 7 Q Did you just want to make sure we are
- 8 looking at the same thing?
- 9 A. This has got the ownership incorrect
- 10 again.
- 11 Q Okay So in terms of the ownership as
- 12 of December, 2002, you were -- let me see if I am
- 13 remembering this right -- 72 percent owner, and
- 14 your brother was 26 percent?
- 15 A. Yes.
- 16 Q Okay So from what you have told me,
- 17 I take it you have no explanation as to why it is
- 18 that this information is erroneous
- 9 A. Well, my explanation would be that it
- 20 did not give the proper significance to a request
- 21 by the Federal Communications Commission. We did

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- 1 not have the importance in mind when responding
- 2 to this. I think I did see her responses, but I
- 3 don't thing that I ever compared them to what was
- 4 actually being requested. It says that Business
- 5 Options, Inc. was incorporated in the State of
- 6 Illinois, and that looked okay to me, so I don't
- 7 know about not owning any property in any state.
- 8 That's what it says.
- 9 Q Okay.
- 10 A. Number two, certificate of authority
- 11 from the State of Illinois is attached. Well,
- 12 okay, if you are just reading this document by
- 13 itself, "Okay, great, it's attached." If you are
- 14 comparing it to what is requested, then it's
- 15 iust -- well, certainly not what I would hope we
- 16 would ever send in the future.
- 17 Q Right. Well, as far as that goes,
- 18 request number two was asking for evidence that
- 19 Business Options, Inc. had complied with the
- 20 registration requirements pursuant to 47-- CFR,
- 21 Section 67 1195 --

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	We it cover your job zava where in the country:
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1 A. Which I did not compare.	1 MR. SHOOK I think so.
2 Q suggests that it is a federal	2 MR HAWA. But it says, "as specified
3 requirement as opposed to something from the	3 in their complaint, did you change it." It
4 State of Illinois?	4 doesn't say, "Did you change their carrier."
5 A. Right.	5 MR SHOOK Well, in terms of number
6 Q So I take it from what you are saying,	6 three, number three is actually quite elaborate
7 then, is at the time that you looked at the	7 in terms of the
8 responses that Miss Dennie had prepared, you did	8 MR HAWA Yeah, I understand.
9 not compare that response with the incoming from	9 MR SHOOK the question. And it
10 the FCC to see whether or not the questions were	10 breaks down a number of sub-parts, A through F.
11 actually answered.	MR HAWA I do ask that sincerely,
12 A. Exactly.	12 because I don't understand your question. It's a
13 Q Now, with respect to question number	13 list of customers, and it says, "Did you change
14 three, do you recall any discussion with Miss	14 the carrier as specified in the complaint and
15 Dennie in terms of how it is that the answer that	15 Attachment A." Attachment A doesn't specify
16 appears in Business Options' response was	16 being slammed.
17 prepared and whether or not that answer was	MR SHOOK No, it doesn't have the
18 accurate?	18 complaints themselves It simply indicates that
19 A. Let me take a look here.	19 complaints were filed by those individuals with
20 Q Sure.	20 the State of Maine.
21 MR HAWA While you are looking at	21 MR HAWA So then a fair reading is,
Page 230	Page 232
1 those, I am not sure if this is something you	1 did you change their carrier, as specified in
2 want to respond to, but the question asks the	2 their complaint; meaning, did you slam them?
3 long and short of it is, did you change preferred	3 MR SHOOK Well, not even slam. Did
4 carrier as specified in the complaint and	4 you simply change their I mean, a slam is
5 Attachment A? Well, in Attachment A, there are	5 something that wouldn't necessarily that you
6 no complaints specifying anything. It's just a	6 couldn't necessarily determine without further
7 list of customers. How are you reading that?	7 information. The purpose of the question was
8 MR SHOOK Well, I think a fair	8 simply to determine whether or not Business
9 reading of it for, you know, purposes of	9 Options, Inc. would verify the fact that the
10 discussion would be that with respect to the	10 long-distance service of those individuals was
11 persons who are names there those 20 names	11 changed to Business Options, Inc. at some point
12 I didn't count them, but I would say roughly 20	12 after April 1, 2002?
13 names had their phone service their	13 MR HAWA I don't want to belabor the
14 long-distance phone service to those individuals	14 point, but wouldn't it then say, "Did you change
15 changed after April 1	15 the carrier of these customers"
16 MR HAWA As specified in their	16 MR SHOOK I am not suggesting that
17 complaint?	17 the question couldn't have been worded in some
18 MR SHOOK after April 1, 2002, to	18 different fashion. I am trying to give you what
19 Business Options?	19 I think is a reading of that question.
F	1 2 4

20

21

MR HAWA Fair enough.

MR SHOOK In a way, it's all

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MR HAWA You are saying that's a

20

21 fair reading?

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"We'll cover your job ANYWHERE in the country!"

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- 1 academic. Because the point is, at the time this
- 2 letter was received by Business Options, what
- 3 effort was made to answer the question as they
- 4 understood it?
- 5 MR HAWA Okay.
- 6 WITNESS: I think that Miss Dennie
- 7 did -- or we did have a brief discussion on this.
- 8 and I think we read the question as, "Are you
- 9 guilty of the complaints as they are filed?" And
- 10 I think our response was, "No, we are not guilty
- 11 of what they are saying we did."
- 12 BY MR SHOOK
- 13 Q Okay. And with the understanding,
- 14 though, that you -- if I am reading this right
- 15 and understanding all the back and forth that we
- 16 have had here, you had not actually seen whatever
- 17 complaints may have been filed by the 20
- 18 individuals that were listed?
- 19 A. That would be correct. Nor did we, at
- 20 least to my knowledge, go back and pull the
- 21 customer's service information to determine when

1 first to make sure --

- A. I don't think, at least as far as my
- 3 participation, we were trying to be vague or
- 4 evasive. I guess my thought on reading this was,
- 5 you know, are you guilty of what these customers
- 6 are saying that you did. And to that, I would
- 7 say no, we are not. So during this period of
- 8 time, that response would be logical with that
- 9 line of thought.
- 10 The way we are looking at it today --
- 11 if you are just asking, did we switch these
- 12 customers. Well, yeah, now I have looked at the
- 13 customer service on each of these -- not the ones
- 14 from Maine, but the eight that you guys asked all
- 15 those interrogatories about --
- 16 Q Right.
- 17 A. -- and we did switch their service
- 18 during that time period. I believe it in April.
- 19 Q After April 1 of 2002.
- 20 A. Yeah. Again, this is hindsight, but
- 21 we had been in business for merely ten or eleven

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- 1 they were switched, whether they weren't
- 2 switched. So I think we took this question as,
- 3 "Are you guilty of doing what these customers are
- 4 saying you are guilty of." Anyway, that's my
- 5 recollection. Again, you can ask Miss Dennie.
- 6 She was the one that offered that response.
- 7 O Okay. But that would be without
- 8 actually knowing what it was these people had
- 9 really complained about
- 10 A. She may have look at it. I don't
- 11 recall ever looking at those complaints.
- 12 Q All right. So these are things we
- 13 would have to explore more in depth with her.
- 14 A. Yes.
- 15 Q But in terms of your involvement, in
- 16 looking at what it was the FCC was asking for,
- 17 basically I suppose first you can provide us with
- 18 your own understanding of what you thought the
- 19 FCC was asking for.
- 20 A. Right.
- 21 Q And then -- let me just focus on that

1 years, I guess, at this point and just didn't

- 2 have that much communication back and forth with
- 3 the FCC, except for some informal complaints now
- 4 and then. And Mr. Brzycki's department always
- 5 sent off letters. And, I mean, there weren't
- 6 that many of them, but I don't think that we've
- 7 ever received anything like this from the FCC
- 8 before. So this was something new for us, and
- 9 the significance that was put on it was that of
- 10 just an informal complaint. It wasn't, "Okay,
- 11 here's this big document from the FCC. We need
- 12 to huddle around and take a good look at this,
- 13 and let's get off a great response, and let's get
- 14 them whatever they want." It was more like,
- 15 "Hey, we got this notice from the FCC. I better
- 16 respond to it." And I said, "Okay, go ahead and
- 17 you respond to it." And later, "Hey, Kurtis,
- 18 here's what we are going to send to them."
- 19 "Okay, it looks okay to me." That was the flow
- 20 of it. It wasn't, "Wow, you know what -- we've
- 21 got a situation here. What's going on here?"

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- 1 And again, I think that goes back to having a
- 2 pretty clean record with the Commission over the
- 3 past ten or eleven years and not having things
- 4 like this come up. You know, we had the
- 5 situation with Vermont, and it almost came
- 6 across, I guess, as -- well, Vermont sent
- 7 something off to the FCC, and the FCC is just
- 8 following up on whatever the Vermont people had
- 9 already done. It was almost like it was a done
- 10 thing with Vermont, and the FCC was just
- 11 following up with this. But, anyway, we learned
- 12 a lesson, for sure.
- 13 Q Although, in this case, the

Deposition of Kurtis Kintzel

- 14 complainants were all from the State of Maine.
- 15 A. Maine, okay.
- Q I mean, I know they are close to each 16
- 17 other, but --
- 18 A. Well, we were working with Maine,
- 19 **also.**
- Q All right. So the gist of your answer 20
- 21 is that in terms of focusing on what it was the

- 1 long-distance service changed after April 1,
- 2 2002?
  - A. Well, specifically, the eight that we
- 4 have been focused on. On the other ones, we
- 5 would --
- Q Right. Five of whom are common to
- 7 this letter, and three of whom are from other
- 8 states.
- A. Okay. So we would investigate every
- 10 one of these, and we would prepare a detailed
- 11 response. If we ever send out another response
- 12 like this, I will resign. So I don't know what
- 13 else to tell you. It was inappropriate.
- Q Okay. I think we have beaten question
- 15 number three to death. With respect to question
- 16 number four, that asks for telemarketing scripts.
- A. Uh-huh. 17
- 18 Q And the response reflects
- 19 telemarketing sales scripts along with
- 20 instructions to telemarketers are attached.
- 21 A. Okay.

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- 1 FCC was asking, you personally did not devote the
- 2 kind of attention which in hindsight you wish you
- 3 had?
- A. Yes.
- Q But in terms of actually answering the
- 6 letter in the first instance, that was Shannon
- 7 Dennie's responsibility?
- A. Yes. 8
- Q Now, was it the responsibility of
- 10 anybody other than or in addition to Shannon
- 11 Dennie?
- 12 A. Potentially, Miss Green may have been
- 13 helping her with this.
- Q But in terms of response to question
- 15 number three and its various sub-parts, I take it
- 16 from the conversations -- you know, the
- 17 conversation that we had earlier today, that it
- 18 would be fair to say that your answer to this
- 19 question today would be decidedly different than
- 20 what it was in December, 2002 -- that in fact the
- 21 persons who are named here had their

- Q And there appears to be something that
- 2 reads -- or there is something that reads,
- 3 rather, "Policy letter, Buzz Telecom," and then
- 4 it has two dates; one being April 24, 2002, and
- 5 then in bigger letters it has -- or in bigger
- 6 font, rather, it has May 15, 2002, and then there
- 7 is a capital "R" after that.
- A. Uh-huh.
- Q Are you looking at that page?
- 10 A. Yeah.
- Q And it shows your name down at the
- 12 bottom. It's not signed, but it shows your name,
- 13 and underneath it has, "COB" The standard sales
- 14 pitch, is this something that -- well, then there
- 15 is a second page that follows, and then there are
- 16 also two additional pages that follow after that
- 17 deal with how to handle objections.
- A. Yes. 18
  - Q The information that appears here --
- 20 is that accurate and responsive as far as you
- 21 understand it with respect to question number

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- 1 four? And take whatever time you need in order
- 2 to answer that
- A. If I write something like that, I sign
- 4 it or initial it down at the bottom. It looks
- 5 like what I authored, but there should be a copy
- 6 somewhere that's signed.
- Q Now, in terms of the next page, the
- 8 one that has at the top, "Standard Sales
- 9 Pitch" --
- 10 A. Uh-huh.
- 11 Q -- and again it has two dates; April
- 12 24, 2002 and May 15, 2002 --
- 13 A. Uh-huh.
- Q -- and then there is a smaller letter
- 15 "R" after that. And then down at the bottom, it
- 16 appears to bear the signature of Katrina Reillo?
- 17 I mean, I don't know how you pronounce the last
- 18 name.
- A. I don't know, either. 19
- Q R-E-I-L-O. 20
- A. Uh-huh, 21

- Q This particular standard sales pitch,
- 2 do you know who it was who actually wrote this?
- A. I think it was probably Katrina.
- 4 Usually in the past, I wrote most of the sales
- 5 pitches for our company.
- MR HAWA Can we go off the record
- 7 for a second?
- MR. SHOOK Sure
- (Discussion was held off the record.) 9
- 10 MR SHOOK Back on the record.
- BY MR SHOOK 11
- Q So the particular standard sales pitch 12
- 13 that Katrına Reillo actually signed is something
- 14 that you believe or you understand that she
- 15 authored?
- A. Yes. 16
- Q Was this a sales pitch that anyone in 17
- 18 a position above Katrina Reillo had to approve or
- 19 did in fact approve?
- 20 A. I would have had to approve this.
- Q And do you recall having done so? 21

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- A. I think I did. I think I on wrote a
- 2 pitch on April 24, 2002, and she revised it on
- 3 this date and maybe put in a few more words or
- 4 something.
- Q Was this sales pitch sent out by
- 6 Business Options to any LEC for its review and/or
- 7 approval?
- A. Not that I am aware of.
- Q The reason I asked that is you had
- 10 indicated at earlier points in time that there
- 11 were sales pitches that had occurred that you had
- 12 sent out to LEC's --
- A. Yes. 13
- O -- and that LEC's had in some 14
- 15 instances even modified the sales pitch?
- A. Yes. 16
- Q But that did not occur with respect to
- 18 this sales pitch?
- A. Not that I know of. That would have
- 20 fallen under Mr. Brzycki's duties. And if
- 21 wasn't, it should have been just as a matter of

- Q Director of sales training. 1
- A. Yes. 2
- 3 Q First of all, who is Katrina?
- A. She was a sales rep for about a year
- 5 for us and did a nice job and wanted to progress
- 6 in the company and requested to be posted as
- 7 director of sales training. And we promoted her
- 8 up right about this period of time.
- Q How long did she hold that position?
- A. Probably -- I think she probably got
- 11 promoted in the spring of 2002, so this would
- 12 have been probably right around when she was
- 13 promoted.
- 14 Q Is she currently with the company?
- A. Yes. 15
- Q In what capacity is she with the 16
- 17 company now?
- A. She does pretty much the same thing.
- Q She's still the director of sales
- 20 training or something akin to that?
- A. Yes.

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- 1 agreement with our billing companies.
- Q Oh. But it was part of the agreement
- 3 with the billing company that if there was a
- 4 change in the sales pitch, that it would be sent
- 5 to the LEC's for their review?
- A. I don't know if that's a condition of
- 7 the agreement or not. I did say that, but I
- 8 don't recall seeing that in the agreement with
- 9 USBI. It seems like if we sent them one -- now,
- 10 this is opinion, not a statement of fact. But if
- 11 we had to send one to get approval initially or
- 12 updating, it should be sent.
- O Okay. But as far as this sales pitch 13
- 14 is concerned, it was not sent to anyone outside
- 15 of the company?
- 16 A. Correct.
- 17 Q With respect to the response prepared
- 18 for question five, if you could take a look at
- 19 the question and then look at the response.
- A. Okay. 20
- 21 Q My question is whether the response is

- 1 appears here in response to number five is
- 2 accurate as far as it goes, but there were
- 3 additional pieces of information that should have
- 4 been provided in order to make the response
- 5 complete?
- A. Yes, it's incomplete.
- Q Okay With respect to question six,
- 8 If you would look at the response, and then tell
- 9 me whether or not the response is accurate.
- A. Again, I think we should have given
- 11 you a couple pages in response, and we could have
- 12 given you an example of what the tape auditor is
- 13 looking for and what they write up and what's
- 14 done with that. That's part of our process -- if
- 15 somebody is doing something they shouldn't be
- 16 doing, whether it be making personal phone calls
- 17 or whatever it is that they are doing. So we
- 18 gave you a one paragraph answer, and it could
- 19 have probably been a two or three-page answer
- 20 with attachments.
- Q So it would be fair to say that so far 21

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1 accurate so far as you know.

- A. What is stated in response to number
- 3 five is accurate, but I don't think it answers
- 4 the question in full that was posed by the
- 5 Commission. In 2002, at some point we did use an
- 6 independent telemarketing company.
- 7 Q In addition to your own employees?
- A. Yes. We didn't do that very long. I
- 9 think it was toward the end of 2002 that we did
- 10 that. So this would be missing information. It
- 11 would be missing that we didn't send the
- 12 telemarketing contracts.
- 13 Q Or the organizational chart of the
- 14 telemarketing operations?
- A. Right. 15
- Q Or the ratio of supervisors to 16
- 17 telemarketing employees? Well, actually, it
- 18 indicated there were 40 employees and three
- 19 managers, so --
- A. Yeah. 20
- Q In other words, the information that 21

- 1 as you know, no one in Business Options, Inc.
- 2 actually look at Section 258 of the Act or the
- 3 rules that are referenced here in terms of
- 4 framing the answer that was provided to us?
- A. Correct.
- Q Now, with respect to questions seven
- 7 through eleven, there is a reference to a
- 8 document prepared by Gene Chill. It's not
- 9 signed, but is it your understanding that Mr.
- 10 Chill in fact prepared this document?
- 11 A. It is my understanding, yes.
- Q Would it be typical of him, you know, 12
- 13 to indicate he is signing it "warmly?"
- A. You are going to have a nice time with 14
- 15 him on -- what is it -- Wednesday or Thursday.
- 16 O We can gather from that that he has a
- 17 sense of humor.
- A. Well, it's just Gene -- he's 18
- 19 compassionate.
- Q Compassionate, okay. 20
- A. If you are asking if that was a joke,

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Deposition of Kurtis Kintzel

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=	Poole of real to remarks		THE COURT JOUR JOU TRIVE WITHERE IN THE	oounay.
	Page 249			Page 251
1	it wasn't a joke. That's just Gene.	1	WITNESS Thank you very much.	_
2	Q Do you have any Did Mr. Chill speak	2	MR HAWA	
3	with you at all about the responses that he	3	(Reading and signing requested.)	
4	prepared to questions seven through eleven?	4	(Deposition concluded 1:00 p.m.)	
5	A. No, I don't recall ever speaking to	5		
6	Mr. Chill. Well, hold on	6		
7	Q It's getting toward the end of the	7		
8	day; we understand.	8		
9	A. Yeah. I do recall seeing this letter,	9		
10	but I don't know if I saw it a month ago, two	10		
11	months ago. I don't think I saw this letter	11		
12	prior to it being sent to the Commission.	12		
13	Q Sitting here right now, you don't have	13		
14	a recollection of having reviewed this letter	14		
15	with Mr. Chill prior to it being sent to the FCC?	15		
16	A. No. Question number eight asks about	16		
17	AT&T. We used to have that problem in the early	17		
18	1990's or maybe mid 1990's. We actually, at one	18		
19	point, had it in our sales scripts, "Do you	19		
20	understand we are not AT&T?" But over the last	20		
21	four or five years, it has really not come up,	21		
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1	and I think that has to do with the	1	CERTIFICATE OF REPORTER/NOTARY PUBLIC	•
2	diversification of the industry. I think our	2	STATE OF INDIANA, to wit	
	verification scripts say something about, "You	3	I, DONNA J HANNAH, a Notary Public of	
4	understand we are not your local phone company,		the State of Indiana, do hereby certify that the	
5	and we are not AT&T." I think it says that.	5	within-ramed witness personally appeared before	
6	Q That takes us through questions seven		me at the time and place herein set out, and	
7	through eleven. So far as I can tell, question		after having been duly sworn by me, according to	
8	twelve wasn't answered at all.		law, was examined by counsel	
9	A. Um	9	I further certify that the examination	
10	Q I have been advised by my co-counsel	10	was recorded stenographically by me and this	
11	that there was a separate letter that was sent	11	transcript is a true record of the proceedings	
12	indicating that state complaints were going to be	13	I further certify that I am not of counsel to any of the parties, nor in any way	
13	sent by separate cover.	14	interested in the outcome of this action	
14	A. Okay.	15	As witness my hand and notarial seal	
15	Q Do you have any knowledge as to		this 25th day of July, 7003	
16	whether in fact that was done?	17	cars for any or only, two	
17		* '		
	A. No, I don't.	18		
18	A. No, I don't.  MR SHOOK Give us one minute.	18	Donna J Hannah	
18 19		18 19 20		
	MR SHOOK Give us one minute.	19	Donna J Hannah	

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